



UPSTREAM – Financial Support to Third Parties (FSTP)

Programme Guidelines & Open Call Texts

Project: UPSTREAM – Circular and Bio-Based Solutions for the Ultimate Prevention of Plastics in Rivers Integrated with Elimination and Monitoring Technologies (GA 101112877)

Period: 2023–2027 | **Topic:** HORIZON-MISS-2022-OCEAN-01-04

Annex A – Application Form (Detailed Structure)

A1. Applicant Identification

- Legal name of applicant organisation
- Legal form and status (local or regional public authority or body directly representing it)
- Official registration number
- Registered address
- Country and region (NUTS level where applicable)
- Legal representative (name, position, contact details)
- Primary contact person for the application

A2. Proof of Legal Status

Applicants must attach official documentation (in English) demonstrating their status as a local or regional public authority or a body directly representing such authority, signed by an authorised representative.

A3. Region and Context Description

- Description of the associated region (river basin(s), catchment areas, connection to seas)



- Key plastic leakage sources and challenges
- Existing policies, strategies or initiatives relevant to plastic prevention, monitoring, removal or valorisation
- Stakeholders involved (municipal services, utilities, NGOs, citizens, industry)

A4. Project Summary

- Project title
- Duration (6–8 months)
- Requested lump-sum grant amount
- Short abstract (max. 1 page) summarising objectives, activities, and expected results

A5. Objectives and Relevance

- Specific objectives aligned with UPSTREAM and the Open Call topic
- Contribution to Mission Ocean objectives
- European added value and relevance to associated regions

A6. Technical Approach and Work Plan

- Description of activities and methodology
- Work packages / tasks
- Timeline (Gantt-style overview)
- Milestones and deliverables with means of verification

A7. Team and Governance

- Project coordinator and team members
- Roles and responsibilities
- Relevant experience and capacity



- External support and subcontracting (if any), including justification

A8. Risk, Ethics and Permits

- Identification of technical, environmental and operational risks
- Mitigation measures
- Ethics self-assessment
- Required permits and regulatory approvals

A9. Impact, KPIs and Sustainability

- Expected results and impacts
- Key Performance Indicators (KPIs)
- Replication and scalability potential
- Sustainability beyond the funding period

A10. Budget Justification (Lump-Sum)

- Summary of budget allocation per activity
- Justification of lump-sum amount
- Subcontracting justification (especially if >30%)

A11. Data Management and IPR

- Types of data generated
- Data protection and GDPR compliance
- Data sharing and open data approach
- Intellectual Property Rights (ownership and access rights)



A12. Communication and Visibility

- Communication objectives and target audiences
- Planned dissemination activities
- Compliance with EU, Mission Ocean and UPSTREAM visibility requirements

A13. Declarations

- Eligibility and absence of double funding
- Compliance with EU restrictive measures
- Acceptance of audits and controls
- Signature by authorised representative